

# Fate of Low-Lying Charge-Transfer Excited States in a Donor:Acceptor Blend with a Large Energy Offset

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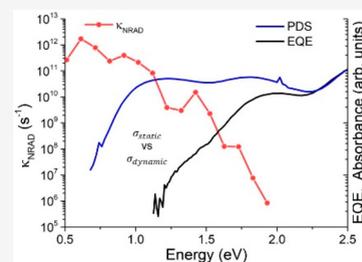


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Supporting Information

**ABSTRACT:** In an effort to gain a comprehensive picture of the interfacial states in bulk heterojunction solar cells, we provide a combined experimental–theoretical analysis of the energetics and dynamics of low-lying electronic charge-transfer (CT) states in donor:acceptor blends with a large frontier orbital energy offset. By varying the blend composition and temperature, we unravel the static and dynamic contributions to the disordered density of states (DOS) of the CT-state manifold and assess their recombination to the ground state. Namely, we find that static disorder (conformational and electrostatic) shapes the CT DOS and that fast nonradiative recombination crops the low-energy tail of the distribution probed by external quantum efficiency (EQE) measurements (thereby largely contributing to voltage losses). Our results then question the standard practice of extracting microscopic parameters such as exciton energy and energetic disorder from EQE.



Organic solar cells (OSCs) have reached power conversion efficiencies (PCEs) close to 18%, with recent increases owing to the development of non-fullerene acceptors<sup>1,2</sup> and the engineering of donor:acceptor (D:A) bulk heterojunction (BHJ) interfaces. The current target is minimizing sources of energy losses, which in OSCs deal with the fate of tightly bound, intermolecular electron–hole (eh) pairs generated at the D:A interface upon charge transfer (CT).<sup>3</sup> The production of separated charges from those states requires that holes and electrons overcome their Coulomb binding energy instead of recombining to the ground state. These CT states come with their own density of states (DOS), which is typically quite broad for various reasons: D:A blends that often comprise a heterogeneous distribution of local microstructures; the coupling between the electronic excitations and the nuclear degrees of freedom; and the involvement of multiple electron and/or hole states. Despite several investigations, the detailed origin for the DOS and the role of hot versus relaxed CT states in charge separation and recombination are still controversial.<sup>4–6</sup>

One way to analyze the manifold of excited states is by means of external quantum efficiency (EQE) measurements, which provide a measure of the CT DOS, weighted by the contribution of the different states to the photocurrent.<sup>7</sup> Using this technique, we previously conducted a study on D:A systems characterized by wide optical bandgaps and a large driving force for charge separation, resulting in ultrabroad CT-state spectra.<sup>8</sup> Typically, such combinations of materials are also characterized by a small energy offset between the donor highest occupied molecular orbital (HOMO) and the acceptor lowest unoccupied molecular orbital (LUMO), leading to a low open-circuit voltage ( $V_{oc}$ ). Although not at all appealing

for their OSC performances, these systems are nevertheless an interesting case study for resolving the existing dilemmas about the nature and fate of interfacial CT states.

Here, we focus on NPB:HAT-CN blends at different compositions and temperatures. In an earlier report, we discussed the existence of multiple electronic CT states at these heterointerfaces and their contributions to the photocurrent.<sup>8</sup> In this work, we provide a comprehensive microscopic model for the energetics and dynamics of the first electronic CT state, disentangling the contributions from static and dynamic disorder to the DOS. Our computational protocol entails a combination of molecular dynamics (MD) simulations, density functional theory (DFT), and time-dependent (TD) DFT calculations, and a microelectrostatic (ME) model,<sup>9,10</sup> specifically designed to include environmental effects, as the electron affinity (EA) and ionization potential (IP) of molecules in a solid-state environment and the corresponding CT excitation energies depend on mutual intermolecular electrostatic interactions.<sup>11</sup> We observe that the CT DOS is broadened mostly because of conformational and electrostatic disorder associated with the NPB flexibility and the HAT-CN quadrupolar layout, respectively, and is essentially static on the time scale of charge separation/recombination. We also predict fast nonradiative decay from

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the lowest CT states that should overcome charge separation, hence rendering these states EQE silent. This is fully confirmed by experimental absorption and EQE measurements showing that the CT DOS explored by EQE is cropped at low energy.

## THEORETICAL METHODS

Three films of NPB and HAT-CN at different relative concentrations were prepared by vapor co-deposition on graphene, by means of NVT non-equilibrium MD simulations,<sup>12–14</sup> for a final film thickness exceeding in all cases 10 nm. The three systems were subsequently cooled and equilibrated at 300 K, and subsequently at 200 and 100 K. Molecular geometries were then extracted from the equilibrated configurations and used for electronic structure calculations. All simulations were performed with the NAMD code.<sup>15</sup>

Ionization potentials  $IP_{\text{NPB}}^{\text{gas}}$  and electron affinities  $EA_{\text{HAT-CN}}^{\text{gas}}$  were computed at the gas-phase DFT level with the range-separated hybrid (RSH) functional  $\omega$ B97X-D<sup>16</sup> along with the 6-311++G(d,p) basis set using the GAUSSIAN16 suite.<sup>17</sup> These transport levels were evaluated as total energy differences between charged and neutral species, applying a correction based on gas-phase GW calculations for the inaccuracies of the force field in describing molecular geometries.

The solid-state energy levels for holes on NPB and electrons on HAT-CN can be expressed as  $IP_{\text{NPB}} = IP_{\text{NPB}}^{\text{gas}} + \Delta^+$  and  $EA_{\text{HAT-CN}} = EA_{\text{HAT-CN}}^{\text{gas}} + \Delta^-$ , where polarization energies for holes ( $\Delta^+$ ) and electrons ( $\Delta^-$ ) were obtained via ME calculations.<sup>9,10</sup> The energy of CT states was calculated for molecular NPB:HAT-CN pairs in close contact selected from MD samples. The photovoltaic gap is defined as  $E_{\text{GAP}} = IP_{\text{NPB}} - EA_{\text{HAT-CN}}$ . The optical gap is  $E_{\text{CT}} = E_{\text{GAP}} + E_{\text{B}}$ , where  $E_{\text{B}} < 0$  is the Coulomb exciton interaction between closely spaced electron and holes. The latter is calculated with self-consistent ME calculations as the screened interaction between hole and electron charge densities.

The conformational disorder was assessed with gas-phase DFT calculations performed at the MD geometries, quantifying the fluctuations of the NPB HOMO and the HAT-CN LUMO. The high- and low-frequency contributions to the dynamic conformational disorder were separated by means of a Fourier filter procedure applied to the time series of molecular orbital energies computed along the MD trajectories at 300 K (see Table 1 and Figure S3). The variances of the frequency-filtered time series were averaged over five NPB and five HAT-CN molecules.

Nonradiative recombination rates  $\kappa_{\text{NRAD}}$  between the (first) CT state and the ground state were calculated with the semiclassical Marcus–Levich–Jortner (MLJ) expression:

$$\kappa_{\text{NRAD}} = \frac{2\pi}{\hbar} J^2 \sqrt{\frac{1}{4\pi\lambda_{\text{env}}k_{\text{B}}T}} \times \sum_n \left\{ \exp(-S_{\text{eff}}) \frac{S_{\text{eff}}^n}{n!} \times \exp\left[-\frac{(-E_{\text{CT}}^{0,0} + \lambda_{\text{env}} + n\hbar\omega_{\text{eff}})^2}{4\lambda_{\text{env}}k_{\text{B}}T}\right] \right\} \quad (1)$$

where  $J$  is the electronic coupling between those states,  $\hbar$  is the reduced Planck's constant,  $k_{\text{B}}$  is the Boltzmann constant,  $T$  is the temperature in kelvin,  $\lambda_{\text{env}}$  is the environmental

**Table 1. Standard Deviations (in millielectronvolts) of the Intramolecular Energy Level Dynamic Fluctuations along the MD Trajectory, Partitioned into the Low ( $\sigma_{\text{low}}^x$ )- and High-Frequency ( $\sigma_{\text{high}}^x$ ) Component Disorder, with**

$$\sigma_{\text{dyn}}^x = \sqrt{\sigma_{\text{low}}^{2x} + \sigma_{\text{high}}^{2x}} \quad ^a$$

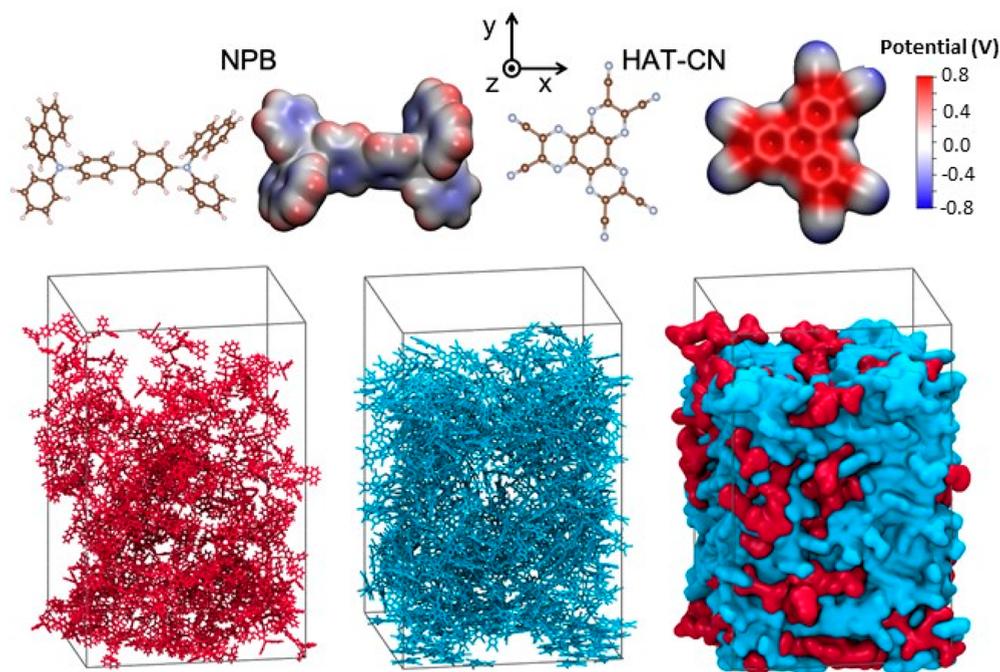
%/ $T$ (K)	NPB			HAT-CN		
	$\sigma_{\text{low}}^{\text{IP}}$	$\sigma_{\text{high}}^{\text{IP}}$	$\sigma_{\text{dyn}}^{\text{IP}}$	$\sigma_{\text{low}}^{\text{EA}}$	$\sigma_{\text{high}}^{\text{EA}}$	$\sigma_{\text{dyn}}^{\text{EA}}$
4.6/100	59	40	71	11	39	40
4.6/300	87	67	110	19	60	63
76.1/100	68	39	78	14	36	38
76.1/300	102	60	118	19	54	57

<sup>a</sup>The samples are labeled according to the HAT-CN molar fraction and the MD simulation temperature. Low- and high-frequency fluctuations were found to be uncorrelated.

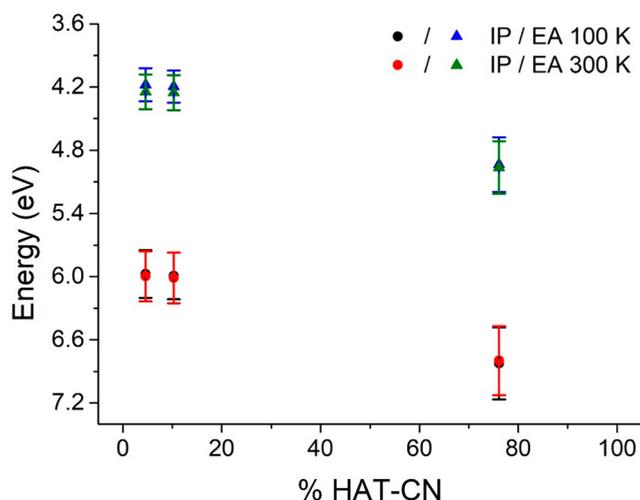
reorganization energy computed from  $\sigma_{\text{low}}$  as  $\lambda_{\text{env}} = \frac{\sigma_{\text{low}}^{2x}}{2k_{\text{B}}T}$ ,  $\omega_{\text{eff}} = 0.15$  eV is the energy of an effective high-frequency intramolecular vibration,  $S = \lambda_{\text{int}}/(\hbar\omega_{\text{eff}})$  is the corresponding Huang–Rhys factor, and  $E_{\text{CT}}^{0,0} = E_{\text{CT}} - \lambda_{\text{int}}$  is the GW/ME energy, where  $\lambda_{\text{int}}$  is removed to avoid double counting with the term  $n\hbar\omega_{\text{eff}}$ . The full treatment of the theoretical methodology is reported in the Supporting Information.

Three NPB:HAT-CN blends of increasing HAT-CN molar fraction (4.6%, 10.3%, and 76.1%) were simulated with MD simulations, adopting a non-equilibrium protocol that reproduces vapor co-deposition.<sup>12–14,18,19</sup> The final structure of the sample with the highest HAT-CN molar fraction at 300 K is displayed in Figure 1, showing also the two components separately to highlight the occurrence of microsegregation in the blend. The electrostatic potential maps in Figure 1 show major differences in the charge distributions of the two molecules. HAT-CN is a flat and rigid molecule, characterized by symmetrically distributed and strongly dipolar cyano groups attached to the  $\pi$  core. In contrast, NPB features a weakly polarized charge density and presents a dumbbell shape, together with a certain conformational freedom conferred by rotations about phenyl–phenyl and N–phenyl bonds (see Figure S1). From the differences in shape and molecular charge distribution between NPB and HAT-CN, one can surmise that their intermolecular interactions might promote phase separation with respect to the entropically favored full mixing, even in the solid state, and simulations show that this is the case. This segregation determines separate migration pathways for holes (in NPB) and electrons (in HAT-CN) from the interface to the electrodes, while still maintaining close D:A contacts (see Figure S2). However, the structural analysis of the samples ruled out the presence of any particular orientational or positional order.

Average values of the charge transport energy levels in the solid state as a function of composition and temperature are shown in Figure 2. Both  $IP_{\text{NPB}}$  and  $EA_{\text{HAT-CN}}$  increase substantially and approximately linearly with the HAT-CN molar fraction, although the limited number of investigated samples does not allow us to establish whether the growth is linear like in other binary molecular blends.<sup>20,21</sup> As reported in Table 2, with the change from 4.6% to 76.1% HAT-CN at 300 K, the mean  $IP_{\text{NPB}}$  increases from 6.00 to 6.80 eV, with a remarkable shift of 0.8 eV due to the electrostatic interactions with HAT-CN, while the mean  $EA_{\text{HAT-CN}}$  increases by 0.72 eV, from 4.24 to 4.96 eV. The increase in the IP and EA of the two



**Figure 1.** Molecular structures and electrostatic potential color maps of *N,N'*-di(1-naphthyl)-*N,N'*-diphenyl-(1,1'-biphenyl)-4,4'-diamine (NPB) and 1,4,5,8,9,11-hexaazatriphenylene hexacarbonitrile (HAT-CN) (top). Snapshots of the simulated sample at a 24.9:76.1 NPB:HAT-CN ratio (bottom). Visualizations of NPB molecules only (red), HAT-CN only (cyan), and the whole sample with an isosurface representation from left to right, respectively.



**Figure 2.** Average electron affinity (EA) of HAT-CN (blue and green triangles) and ionization potential (IP) of NPB (black and red circles) as a function of temperature and HAT-CN molar fraction. Vertical bars represent standard deviations. Because the shifts in the energy levels of NPB and HAT-CN are so similar, overall the photovoltaic gap  $E_{\text{GAP}} = \text{IP}_{\text{NPB}} - \text{EA}_{\text{HAT-CN}}$  is not expected to change significantly with HAT-CN molar fraction (see below).

molecules in HAT-CN-rich blends is in line with similar results for the host dependence of energy levels<sup>11</sup> and zinc phthalocyanine blends.<sup>20,22</sup> In our case, the electrostatic landscape is governed by the strong quadrupole moment of the HAT-CN molecule, characterized by electron-withdrawing groups at the  $\pi$  core periphery, which generally leads to a stabilization of occupied and empty levels. The rather strong composition effects in Figure 2 contrast with the effect of temperature, hardly detectable considering the standard

**Table 2.** Average Energies of Charge Carrier Transport Levels (in electronvolts) and Standard Deviations  $\sigma_{\text{tot}}^x$  (in millielectronvolts, where x stands for IP or EA) in NPB:HAT-CN Blends at 300 K<sup>a</sup>

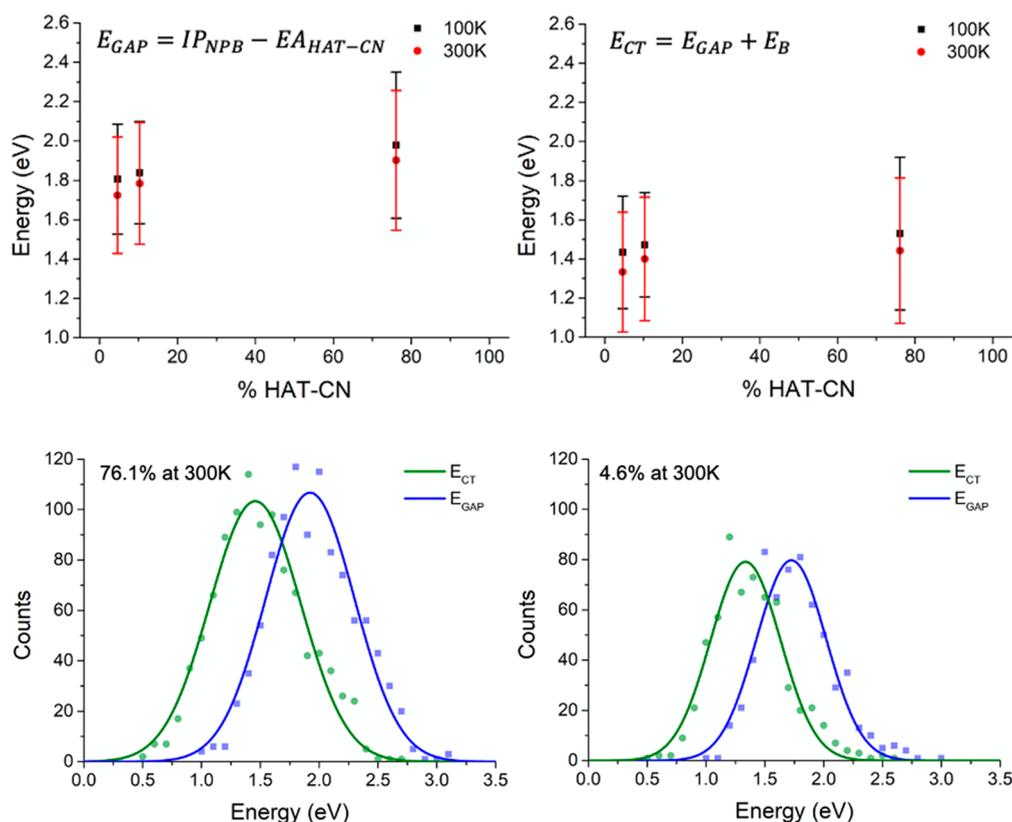
% HAT-CN	IP <sub>NPB</sub>	$\sigma_{\text{tot}}^{\text{IP}}$	$\sigma_{\text{conf}}^{\text{IP}}$	$\sigma_{\text{env}}^{\text{IP}}$	$\sigma_{\text{stat}}^{\text{IP}}$	$\sigma_{\text{low}}^{\text{IP}}$
4.6	6.00	239	179	161	223	87
10.3	6.01	241	188	164	222	94
76.1	6.80	328	183	274	311	102
% HAT-CN	EA <sub>HAT-CN</sub>	$\sigma_{\text{tot}}^{\text{EA}}$	$\sigma_{\text{conf}}^{\text{EA}}$	$\sigma_{\text{env}}^{\text{EA}}$	$\sigma_{\text{stat}}^{\text{EA}}$	$\sigma_{\text{low}}^{\text{EA}}$
4.6	4.24	165	57	151	164	19
10.3	4.25	166	47	155	165	19
76.1	4.96	249	42	244	249	19

<sup>a</sup>Energy fluctuations (in millielectronvolts) were also quantified according to their nature: intramolecular  $\sigma_{\text{conf}}^x$ , intermolecular  $\sigma_{\text{env}}^x$ , static  $\sigma_{\text{stat}}^x$ , and low-frequency dynamic disorder  $\sigma_{\text{low}}^x$ .

deviations of IP<sub>NPB</sub> and EA<sub>HAT-CN</sub>, suggesting a minor effect exerted by thermal fluctuations in these blends. Therefore, in the following, we will mainly focus on the results at 300 K (see Table S1 for data at 100 K).

The total energetic disorder  $\sigma_{\text{tot}}^x$  (where x stands for IP or EA) can be partitioned into different contributions, as depicted in Figure 3. Energetic disorder can be characterized in terms of its physical origin, i.e., conformational ( $\sigma_{\text{conf}}^x$ ) and environmental disorder ( $\sigma_{\text{env}}^x$ ), and it can also be classified according to time scales as being of static ( $\sigma_{\text{stat}}^x$ ) or dynamic ( $\sigma_{\text{low}}^x$ ) nature. While static disorder is mostly sourced by inhomogeneity in the electrostatic environment of the CT pairs, dynamic disorder includes contributions from intramolecular low-frequency modes (below 200 cm<sup>-1</sup>) that are correctly described by our classical MD simulations in the explored temperature range. We note that, unlike recent studies addressing dynamic disorder in disordered molecular





**Figure 4.** Average photovoltaic gap ( $E_{\text{GAP}}$ ) and CT-state energies ( $E_{\text{CT}}$ ) for NPB:HAT-CN pairs as a function of HAT-CN molar fraction and temperature (top). Vertical bars represent standard deviations. CT density of states (DOS) and distribution of gap energies at two different HAT-CN molar fractions at 300 K (bottom). Solid lines are Gaussian fits.

**Table 3.** Average Photovoltaic Gaps ( $E_{\text{GAP}}$ ), CT-State ( $E_{\text{CT}}$ ) and Exciton Binding Energies ( $E_{\text{B}}$ ) (in electronvolts), and Standard Deviations ( $\sigma_{\text{tot}}^{\text{x}}$  in millielectronvolts, where x stands for GAP or CT) for NPB:HAT-CN Pairs at 300 K as a Function of HAT-CN Molar Fraction<sup>a</sup>

% HAT-CN	$E_{\text{GAP}}$	$\sigma_{\text{tot}}^{\text{GAP}}$	$\sigma_{\text{conf}}^{\text{GAP}}$	$\sigma_{\text{env}}^{\text{GAP}}$	$\sigma_{\text{stat}}^{\text{GAP}}$	$\sigma_{\text{low}}^{\text{GAP}}$	$\lambda_{\text{env}}$
4.6	1.72	296	184	238	282	89	155
10.3	1.78	310	191	239	295	96	181
76.1	1.90	355	184	339	340	104	209
% HAT-CN	$E_{\text{CT}}$	$\sigma_{\text{tot}}^{\text{CT}}$	$\sigma_{\text{stat}}^{\text{CT}}$	$E_{\text{B}}$	$\sigma_{\text{B}}$		
4.6	1.33	306	293	-0.39	123		
10.3	1.40	316	301	-0.38	118		
76.1	1.44	371	357	-0.46	133		

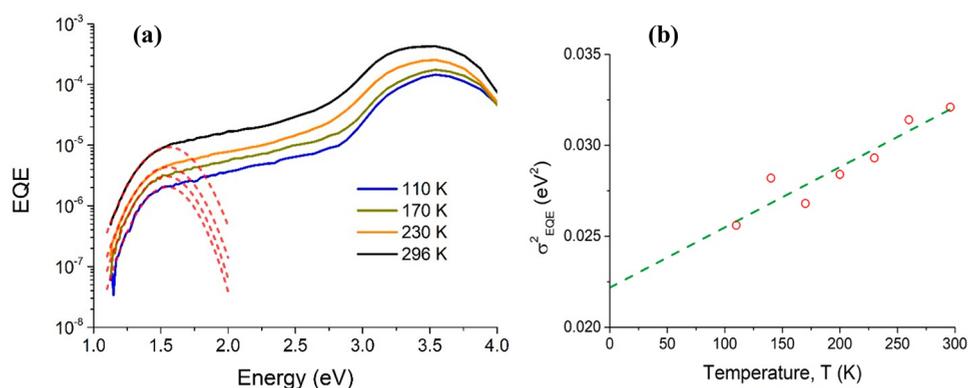
<sup>a</sup>Energy fluctuations (in millielectronvolts) were also quantified according to their nature: intramolecular  $\sigma_{\text{conf}}^{\text{x}}$ , intermolecular  $\sigma_{\text{env}}^{\text{x}}$ , static  $\sigma_{\text{stat}}^{\text{x}}$ , and low-frequency dynamic disorder  $\sigma_{\text{low}}^{\text{x}}$ . Environmental reorganization energies  $\lambda_{\text{env}}$  (in millielectronvolts) were calculated as  $\lambda_{\text{env}} = \frac{\sigma_{\text{low}}^{\text{x}}}{2k_{\text{B}}T}$ . Note that for  $E_{\text{GAP}}$  and  $E_{\text{CT}}$  the intermolecular  $\sigma_{\text{env}}^{\text{x}}$  and low-frequency dynamic disorder  $\sigma_{\text{low}}^{\text{x}}$  coincide and thus are given just once.

energetic disorder. Figure 6b compares the experimental and calculated absorption spectra and the CT DOS. The calculated absorption matches well with experiment, capturing the peak position, yet overestimating the bandwidth, and giving a close reproduction of the CT DOS low-energy tail. These results suggest that absorption spectra, although more challenging to collect experimentally for weakly absorbing intermolecular excitons, can provide a faithful description of the CT DOS in

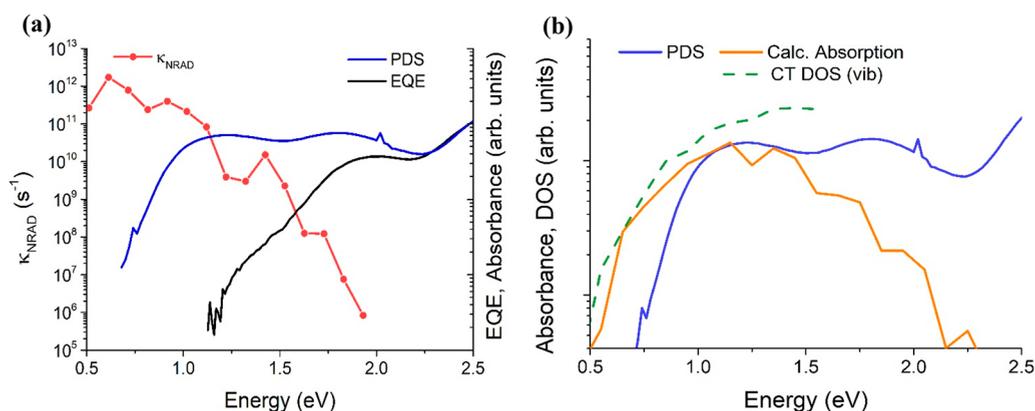
D:A blends, in a manner independent of the spectral region. In contrast, emission spectra suffer from the competition with nonradiative processes as does EQE and should thus be interpreted equally with care.

The origin for the CT energetic disorder in OSCs was recently debated in the literature.<sup>4–6</sup> Different conclusions regarding the relative contributions from static versus dynamic disorder were reached in papers on D:A blends based on fullerene derivatives. Comparable static and dynamic disorder was found for polymer:phenyl-C61-butyric acid methyl ester (PC61BM) blends,<sup>33</sup> while a picture solely based on dynamic disorder due to low-frequency intramolecular mode was able to correctly describe small molecule-C60 systems.<sup>27</sup> For the latter, the evidence for a temperature-independent energetic disorder was very recently ascribed to the zero-point motion of quantum vibrational modes.<sup>6</sup> Besides raising some concerns about the experimental determination of the disorder, our microscopic analysis suggests that the answer to the paramount question regarding the nature of the disorder depends markedly on the investigated system and on the way it is probed. If it is plausible that the static environmental disorder is almost negligible for blends of donor molecules diluted in electrically neutral fullerenes, this contribution can be substantial in the presence of molecules featuring polar groups, such as the NPB:HAT-CN system, and whose broad CT-state spectral signature is mostly due to static disorder.

The nature of low-lying CT states in NPB:HAT-CN D:A blends was investigated with a multiscale computational approach. We found that the wide CT DOS broadening is dominated by static disorder and arises from both conforma-



**Figure 5.** (a) Temperature-dependent external quantum efficiency (EQE) spectra of a NPB:HAT-CN BJJ OSC with 66.0% HAT-CN (solid lines). With a decrease in temperature, the EQE steadily decreases as the generation and transport of charge carriers become increasingly difficult.<sup>27,28</sup> Despite the decrease in magnitude, the EQE spectral shape is mostly preserved but has a small red-shift of the lowest-energy CT peak with a decrease in temperature. Lowest-energy CT spectra were fitted, following the approach of Burke et al.,<sup>29</sup> considering a Gaussian CT energetic distribution (dashed red lines). (b) Temperature dependence of the variance of the energetic disorder extracted from a Gaussian fit of EQE spectra. The extrapolation to 0 K (dashed green line) allows us to estimate a static, temperature-independent, disorder of 150 meV.



**Figure 6.** (a) EQE spectrum (solid black line) and absorbance spectrum (solid blue line) of a NPB:HAT-CN film measured by photothermal deflection spectroscopy (PDS).<sup>8</sup> Red points show the average calculated nonradiative decay rates of CT excitations to the ground state, binned over small (100 meV) energy intervals. The 6 order of magnitude drop of  $\kappa_{\text{NRAD}}$  in the CT-state energy window rationalizes the stark difference between EQE and PDS spectra. (b) PDS spectrum compared to the calculated one (solid orange line) and to the calculated vibrationally broadened CT density of states (DOS) (dashed green line). Experimental and calculated data refer to samples with 4.6% HAT-CN at 300 K.

tional and electrostatic landscape variations, which originate from NPB flexibility and HAT-CN quadrupoles. The large broadening and the deep HAT-CN LUMO levels ensure that a large portion of the CT DOS lies below 1.5 eV, where nonradiative decay to the ground state becomes predominant over charge separation. This energetic situation has two important consequences. (i) The tail of the CT DOS cannot be probed via EQE, EL, or PL measurements, and more importantly for OSCs, it does not contribute to charge generation but rather to recombination; (ii) in such a case, the standard procedure of fitting the EQE signal tail with a Gaussian function to extract static and dynamic disorder becomes unreliable.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcllett.0c02858>.

Molecular dynamics simulation; electronic structure calculations; EQE measurements; distribution of NPB dihedrals at different temperatures; mixed NPB:HAT-CN radial distribution functions; time fluctuations and

Fourier transform of orbital energies; average IP, EA, gap, and CT energies and standard deviations at 100 K; CT DOS at 100 and 300 K; electronic couplings and nonradiative rates at 300 K; fitting of effective lowest CT-state and reorganization energies; and static ( $\sigma_{\text{stat}}$ ) and dynamic ( $\sigma_{\text{dyn}}$ ) disorder contribution to the CT EQE spectral broadening (PDF)

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## Notes

The authors declare no competing financial interest.

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